

Corporate Advisory Solutions, LLC DBA Authorized Auditor

Corporate Advisory Solutions, LLC (CAS) serves the growing compliance and legal servicing needs of creditors and service providers in the Accounts Receivable Management (ARM) industry as they navigate through the regulatory challenges associated with the U.S. consumer marketplace. CAS supports a seasoned team of legal and business professionals who have extensive experience assisting clients with establishing and improving their internal and vendor compliance management systems, and preparing for client and government regulatory compliance audits and examinations.

DBA Approved Third-Party Independent Auditing Services

The Debt Buyer's Association (DBA)¹ International has established 19 uniform certification standards based on recognized industry best practices that they will require all members in the Professional, Standard, and Associate categories to comply with. Compliance with these standards will be attested through audits performed by one of the "Approved" Independent Third Party Auditing Firms.



CAS is honored to be a part of a small group of audit firms who were selected by the DBA to perform independent, third-party audits for Certified Professional Receivables Companies as part of the DBA's International Debt Buyer Certification Program. The program certifies companies and individuals that seek to acquire distressed asset portfolios on the secondary market. Our team has experience in mentoring and auditing companies of all sizes.

Reasons to choose CAS for your Debt Buyer Certification Audits:

- **Auditing and compliance** are not new or "add-on" services. CAS currently performs independent, third-party compliance audits on behalf of passive debt buyers and agencies.
- **Efficient and experienced**. CAS management is comprised of ARM veterans who understand the industry, compliance, and today's challenges based on industry work experience and having performed internal/external audits and compliance reviews for many years. We understand your business and the regulators' expectations.
- **Value**. CAS clients receive more than a Debt Buyer Certification Audit when they choose CAS. Our comprehensive audit approach usually uncovers business process improvements and not just a check-list audit.
- **Ease of Doing Business**: CAS' user-friendly, secure file sharing will allow debt buyers to manage everything from uploading a pre-audit fully-executed NDA to managing document submissions, completing assessment worksheets/checklists, and checking the scheduling status of their on-site audit.
- **Scalability & Flexibility**: Based on its ARM industry audit experience, CAS has the ability to perform several compliance audits simultaneously thus accommodating debt buyers' requests for on-site audits to be conducted during a specific date range.
- **Cost**: CAS has invested heavily in its file sharing portal and has proven it can perform high-quality audits at a competitive price compared to accounting and other firms.
- **Legal Certification**: CAS has partnered with a leading, global law firm to provide, at the debt buyer's option, certification with relevant provisions of ARM industry laws and regulations.

¹ DBA International is the nonprofit trade association that represents the interests of companies that acquire accounts receivable portfolios on the secondary market. DBA maintains a code of ethics and a national certification program in order to promote uniform industry standards based on best practices.